<table>
<thead>
<tr>
<th>Sr. No</th>
<th>Action</th>
<th>Timelines</th>
<th>Total Time Lapse (Days)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Deficiencies to be fulfilled by the Applicant in case of incomplete application</td>
<td>Within 07 days of notice of Registrar</td>
<td>07</td>
</tr>
<tr>
<td>2.</td>
<td>Admission of substantially complete application</td>
<td>Within 03 days of submission of case by Registrar</td>
<td>7+3=10</td>
</tr>
<tr>
<td>3.</td>
<td>Advertisement</td>
<td>Within 03 days of the admission date by the Authority [Reg-8]</td>
<td>10+3=13</td>
</tr>
<tr>
<td>4.</td>
<td>Comment by Stakeholders</td>
<td>Within 14 days of the advertisement date [Reg-8(c)]</td>
<td>13+14=27</td>
</tr>
<tr>
<td>5.</td>
<td>Filing rejoinder to the comments of stakeholders, if required</td>
<td>Within 15 days after of the advertisement deadline</td>
<td>27+15=42</td>
</tr>
<tr>
<td>6.</td>
<td>Public Hearing, if required</td>
<td>Within 30 days of receipt of rejoinder</td>
<td>42+30</td>
</tr>
<tr>
<td>7.</td>
<td>The matter is placed before the Authority along with the comments</td>
<td>No later than 3 days after the last date for receipt of comments from the public [Reg-9(1)]</td>
<td>72+3=75</td>
</tr>
<tr>
<td>8.</td>
<td>Decision on Admitted Petition</td>
<td>The Authority shall decide the application within 28 days after the date the application, along with the comments received from the public, is placed before the Authority pursuant to Reg-9(1), provided that the time taken, if any, by the applicant in making a representation before the Authority in terms of Reg-9(3) shall be excluded from the aforesaid period of twenty eight days. [Reg 9(4)]</td>
<td>75+28=98</td>
</tr>
</tbody>
</table>
## PROCESSING OF APPLICATION UNDER NEPRA LICENSING (APPLICATION AND MODIFICATION PROCEDURE) REGULATIONS, 1999

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<tr>
<th>Sr. No</th>
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<th>Total Time Lapse (Days)</th>
<th>Remarks</th>
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<tr>
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<td>2.</td>
<td>Admission of substantially complete application</td>
<td>Within 03 days of submission of case by Registrar</td>
<td>7 + 3 = 10</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Advertisement</td>
<td>Within 03 days of the admission date by the Authority [Reg 8]</td>
<td>10 + 3 = 13</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Comment by Stakeholders</td>
<td>Within 14 days of the advertisement date [Reg 8(c)]</td>
<td>13 + 14 = 27</td>
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</tr>
<tr>
<td>5.</td>
<td>The matter is placed before the Authority along with the comments</td>
<td>No later than 3 days after the last date for receipt of comments from the public [Reg-9(1)]</td>
<td>27 + 3 = 30</td>
<td></td>
</tr>
<tr>
<td>6.</td>
<td>Decision on Admitted Petition</td>
<td>The Authority shall decide the application within 28 days after the date the application, along with the comments received from the public, is placed before the Authority pursuant to sub-regulation (1), provided that the time taken, if any, by the applicant in making a representation before the Authority in terms of sub-regulation (3) shall be excluded from the afore-said period of twenty eight days. [Reg 9(4)]</td>
<td>30 + 28 = 58</td>
<td>This total time <strong>58 working days</strong> to decide an application <strong>does not</strong> include (i). the time taken by the applicant in filing rejoinder to the comments of stakeholders, if required; (ii). The time taken to conduct a public hearing, if required as stipulated in Rule-3(4) of the NEPRA Licensing (Generation) Rules, 2000.</td>
</tr>
</tbody>
</table>
Licensing Application Flow Process

License Application Procedure

Applicant

Registrar

Non Compliant with AMPR

Initial Scrutiny

Compliant with AMPR

Admission of Application

Notice of Admission and Letter to Stakeholders

Evaluation of Documents, Comments & Rejoinders

Compliant

Adverse Comments

Other Directions

Approval

Authority

Case Officer Report

Public Hearing

Order Issued

Non Compliant

Rejection

National Electric Power Regulatory Authority
Check List for Examination of Licence Application —
For Existing Project (Regulation 3 read with 3(6)(A)(b) of AMPR)

<table>
<thead>
<tr>
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<tr>
<td>3(2)</td>
<td>Application fee (including Indexation)</td>
<td></td>
</tr>
<tr>
<td>3(4)</td>
<td>Three copies of Application</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(i)</td>
<td>Certificate of incorporation</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(ii)</td>
<td>Memorandum and articles of association</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(iii)</td>
<td>Annual Return statements</td>
<td></td>
</tr>
<tr>
<td>3(5)(b)</td>
<td>Profile of experience of the applicant its management, staff and its members in power sector.</td>
<td></td>
</tr>
<tr>
<td>3(5)(c)</td>
<td>CVs of applicant’s Senior Management and Technical professionals.</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(i)</td>
<td>Cash balance &amp; bank certificates</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(ii)</td>
<td>Expression of interest to provide credit or financing along with sources and details thereof</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(iii)</td>
<td>Latest financial statements</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(iv)</td>
<td>Employment records of Engineers &amp; Technical Staff</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(v)</td>
<td>Profile of Sub-contractors</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(vi)</td>
<td>Verifiable references w.r.t. experience of the Applicant and its sub-Contractors</td>
<td></td>
</tr>
<tr>
<td>3(5)(e)</td>
<td>Details of any charges / Encumbrances to the company’s assets</td>
<td></td>
</tr>
<tr>
<td>3(5)(g)(a)</td>
<td>Type of Technology</td>
<td></td>
</tr>
<tr>
<td>3(5)(i)</td>
<td>Prospectus</td>
<td></td>
</tr>
<tr>
<td>Regulation #</td>
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<tr>
<td>-------------</td>
<td>-------------------------------------------------------------------------------------------------</td>
<td>---------</td>
</tr>
<tr>
<td>SCHEDULE – III</td>
<td></td>
<td>---------</td>
</tr>
<tr>
<td>1.</td>
<td>Location maps, site maps, land</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Technology, number of units</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>Fuel: type, imported/indigenous, supplier, logistics, pipelines etc.</td>
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</tr>
<tr>
<td>4.</td>
<td>Emission values</td>
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<td>5.</td>
<td>Cooling water source: tube wells, sea/river/canal, distance from source, etc.</td>
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<td>6.</td>
<td>Interconnection with National Grid Co. distance and name of nearest grid, voltage level (single line diagram)</td>
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<td>7.</td>
<td>Installed capacity, De-rated capacity, expected remaining life</td>
<td></td>
</tr>
<tr>
<td>8.</td>
<td>Due diligence Report</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Rehabilitation plans, previous rehabilitation program</td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>Operational record including environmental monitoring data for the last five years, constraints in dispatching</td>
<td></td>
</tr>
<tr>
<td>11.</td>
<td>Project cost, information regarding source and amounts of equity and debt</td>
<td></td>
</tr>
<tr>
<td>12.</td>
<td>Plant characteristics: generation voltage, power factor, frequency, automatic generation control, ramping rate, control metering and instrumentation</td>
<td></td>
</tr>
<tr>
<td>13.</td>
<td>Training and development</td>
<td></td>
</tr>
<tr>
<td>14.</td>
<td>Efficiency Parameters</td>
<td></td>
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<tr>
<td>(i)</td>
<td>Designed Efficiency of power plant %</td>
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<td>(ii)</td>
<td>Gross Efficiency of power plant at Mean Site Conditions %</td>
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<td>(iii)</td>
<td>Net Efficiency of power plant at Mean Site Conditions %</td>
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</tr>
</tbody>
</table>
Check List for Examination of New Generation Facility (Hydel) - License Application

Name of Company: __________________________________________
Capacity: _________________________________________________
Prepared/Updated on: _______________________________________

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<td>3(5)(a)(i)</td>
<td>Certificate of incorporation (SECP certified)</td>
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</tr>
<tr>
<td>3(5)(a)(ii)</td>
<td>Memorandum and articles of association (SECP certified)</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(iii)</td>
<td>Annual Return statements or in lieu thereof (SECP certified)</td>
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</tr>
<tr>
<td>3(5)(b)</td>
<td>Profile of experience of the applicant its management, staff and its members in power sector</td>
<td></td>
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<tr>
<td>3(5)(c)</td>
<td>CVs of applicant’s Senior Management and Technical professionals</td>
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<tr>
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<td>Cash balance &amp; bank certificates</td>
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<tr>
<td>3(5)(d)(ii)</td>
<td>Expression of interest to provide credit or financing along with sources and details thereof</td>
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<td>3(5)(d)(iii)</td>
<td>Latest financial statements</td>
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<td>Employment records of Engineers &amp; Technical Staff</td>
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<td>Profile of Sub-contractors</td>
<td></td>
</tr>
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<td>3(5)(d)(vi)</td>
<td>Verifiable references w.r.t. experience of the Applicant and its sub-Contractors</td>
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</tr>
<tr>
<td>3(5)(g)(a)</td>
<td>Type of Technology</td>
<td></td>
</tr>
<tr>
<td>3(5)(h)</td>
<td>Feasibility Report</td>
<td></td>
</tr>
<tr>
<td>3(5)(i)</td>
<td>Prospectus</td>
<td></td>
</tr>
</tbody>
</table>

Schedule III

1. Location (location maps, site maps) land

2. Plant: run of river, storage, weir

3. Head: Minimum, maximum
<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>4.</td>
<td>Technology: Francis, Pelton, etc. Size, number of units.</td>
</tr>
<tr>
<td>5.</td>
<td>Tunnel (if proposed): length, diameter</td>
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<tr>
<td>6.</td>
<td>ESSA (Environmental and Social Soundness Assessment)</td>
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<tr>
<td>7.</td>
<td>Detailed feasibility report</td>
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<tr>
<td>8.</td>
<td>Resettlement issues</td>
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<tr>
<td>9.</td>
<td>Consents</td>
</tr>
<tr>
<td>10.</td>
<td>Infrastructure development</td>
</tr>
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<td>11.</td>
<td>Interconnection with National Grid Co. distance and name of nearest grid, voltage level (single line diagram)</td>
</tr>
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<td>12.</td>
<td>Project cost, information regarding sources and amounts of equity and debt.</td>
</tr>
<tr>
<td>13.</td>
<td>Project schedule, expected life</td>
</tr>
<tr>
<td>14.</td>
<td>Peaking/base load operation</td>
</tr>
<tr>
<td>15.</td>
<td>Plant characteristics: generation voltage, power factor, frequency, automatic generation control, ramping rate, control metering and instrumentation</td>
</tr>
<tr>
<td>16.</td>
<td>System studies load flow, short circuit, stability</td>
</tr>
<tr>
<td>17.</td>
<td>Training and development</td>
</tr>
</tbody>
</table>
**Check List for Examination of Licence Application — For New Project (Regulation 3 read with 3(6)(A) of AMPR)**

Name of Company: ___________  
Installed Capacity: ________

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<td>Three copies of Application</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(i)</td>
<td>Certified Copies of Certificate of incorporation (Certified by SECP)</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(ii)</td>
<td>Certified Copies of Memorandum and articles of association (Certified by SECP)</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(iii)</td>
<td>Certified Copies of Last Filed Annual Return of the company (Certified by SECP)</td>
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<tr>
<td>3(5)(b)</td>
<td>Profile of experience of the applicant its management, staff and its members in power sector.</td>
<td></td>
</tr>
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<td>3(5)(c)</td>
<td>CVs of applicant’s Senior Management and Technical professionals</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(i)</td>
<td>Cash balance held in reserve alongwith the bank certificates</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(ii)</td>
<td>Expression of interest to provide credit or financing along with sources and details thereof</td>
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<tr>
<td>3(5)(d)(iii)</td>
<td>Latest financial statements of the company</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(iv)</td>
<td>Employment records of engineering and technical staff of the applicant proposed to be employed</td>
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</tr>
<tr>
<td>3(5)(d)(v)</td>
<td>Profile of Sub-contractors, if any alongwith expression of interest of such sub contractor</td>
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</tr>
<tr>
<td>3(5)(d)(vi)</td>
<td>Verifiable references in respect of the experience of the applicant and its proposed sub-contractors</td>
<td></td>
</tr>
<tr>
<td>3(5)(g)(a)</td>
<td>The type, technology, model, technical details and design of the facilities proposed to be acquired, constructed, developed or installed</td>
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</tr>
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<td>3(5)(h)</td>
<td>Feasibility Report</td>
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</tr>
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<td>3(5)(i)</td>
<td>Prospectus</td>
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</tr>
<tr>
<td>Schedule III</td>
<td></td>
<td></td>
</tr>
<tr>
<td>----------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>1. Location maps, site maps, land</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Technology, size of plant, number of units</td>
<td></td>
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<tr>
<td>3. Fuel: type, imported/indigenous, supplier, logistics, pipelines etc.</td>
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<tr>
<td>etc.</td>
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<tr>
<td>6. Interconnection with National Grid Co. distance and name of nearest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>grid, voltage level (single line diagram)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Infrastructure: roads, rail, staff colony, amenities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Project cost, information regarding sources and amounts of equity, debt</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Project commencement and completion schedule with milestones</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. ESSA (Environmental and Social Soundness Assessment)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Safety plans, emergency plans</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12. System studies, load flow, short circuit, stability, reliability</td>
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<td></td>
</tr>
<tr>
<td>13. Plant characteristics: generation voltage, power factor, frequency,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>automatic generation control, ramping rate, control metering and</td>
<td></td>
<td></td>
</tr>
<tr>
<td>instrumentation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14. Control, metering, instrumentation and protection</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15. Training and development</td>
<td></td>
<td></td>
</tr>
<tr>
<td>17. Efficiency Parameters</td>
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<td></td>
</tr>
<tr>
<td>(i) Designed Efficiency of power plant %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(ii) Gross Efficiency of power plant at Mean Site Conditions %</td>
<td></td>
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</tr>
<tr>
<td>(iii) Net Efficiency of power plant at Mean Site Conditions %</td>
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Check List for Examination of License Application — Captive Power Plants [Regulation 3(5)-A]

Name of Company: ___________________________  Capacity: _______

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<td>Three copies of Application</td>
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</tr>
<tr>
<td>3(5)-A(i)</td>
<td>Certificate of incorporation (certified by SECP)</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(ii)</td>
<td>Memorandum and articles of association (certified by SECP)</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(iii)</td>
<td>Latest financial statements</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(iv)</td>
<td>Location maps, site maps, land</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(v)</td>
<td>Type of Technology.</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(vi)</td>
<td>No. of Units / Size MW</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(vii)</td>
<td>Year Make/Model, Operation date and expected remaining life.</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(viii)</td>
<td>Installed capacity, de-rated capacity, Aux. Consumption. Net Capacity.</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(ix)</td>
<td>Fuel (oil/gas): type, imported/indigenous, supplier, logistics, pipelines etc. In case of Gas fuel, a Gas Sale Agreement (GSA) signed between applicant and Gas Supplier.</td>
<td></td>
</tr>
<tr>
<td>3(5)-A(x)</td>
<td>Supply Voltage (11kV/132kV). In case of 132 KV voltage distance and name of nearest grid (single line diagram).</td>
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</tr>
<tr>
<td>3(5)-A(xi)</td>
<td>Plant characteristics: generation voltage, power factor, frequency, automatic generation control, ramping rate, alternative fuel, time(s) required to synchronize to grid.</td>
<td></td>
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<tr>
<td>3(5)-A(xii)</td>
<td>Provision of metering, instrumentation protection and control arrangement.</td>
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</tr>
<tr>
<td>3(5)-A(xiii)</td>
<td>Efficiency Parameters</td>
<td></td>
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<td>(i) Designed Efficiency of power plant %</td>
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<td>(iii) Net Efficiency of power plant at Mean Site Conditions %</td>
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## Check List for Examination of Distribution Licence Application

### Name of Company: ___________________________  Load: __________

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</tr>
<tr>
<td>3(4)</td>
<td>Three copies of Application</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(i)</td>
<td>Certificate of incorporation</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(ii)</td>
<td>Memorandum and articles of association</td>
<td></td>
</tr>
<tr>
<td>3(5)(a)(iii)</td>
<td>Annual Return statements or in lieu thereof</td>
<td></td>
</tr>
<tr>
<td>3(5)(b)</td>
<td>Profile of experience of the applicant and its management, staff and its members in power sector.</td>
<td></td>
</tr>
<tr>
<td>3(5)(c)</td>
<td>CVs of applicant's Senior Management and Technical professionals</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(i)</td>
<td>Cash balance &amp; bank certificates</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(ii)</td>
<td>Expression of interest to provide credit or financing along with sources and details thereof</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(iii)</td>
<td>Latest financial statements</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(iv)</td>
<td>Employment records of Engineers &amp; Technical Staff</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(v)</td>
<td>Profile of Sub-contractors, if any alongwith Expression of Interest (Eoi) of such contractor.</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(vi)</td>
<td>Verifiable references w.r.t. experience of the Applicant and its sub-Contractors</td>
<td></td>
</tr>
<tr>
<td>3(5)(g)(b)(i)</td>
<td>The type, technology, model technical details and design of the facilities proposed to be acquired, constructed, developed or installed</td>
<td></td>
</tr>
<tr>
<td>3(5)(g)(b)(ii)</td>
<td>A territorial map of the service area proposed to be covered</td>
<td></td>
</tr>
<tr>
<td>3(5)(g)(b)(iii)</td>
<td>Particulars in respect of the availability, sources, rates and evidence of commitments from the sources of electric power.</td>
<td></td>
</tr>
<tr>
<td>3(5)(h)</td>
<td>Feasibility Report</td>
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</tr>
<tr>
<td>Regulation #</td>
<td>Information/Documents Required</td>
<td>Information/Documents Submitted by the Applicant</td>
</tr>
<tr>
<td>--------------</td>
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<td>-----------------------------------------------</td>
</tr>
<tr>
<td>3(5)(i)</td>
<td>Prospectus</td>
<td></td>
</tr>
</tbody>
</table>

**Schedule III**

1. Distribution system configuration, service territory, right of way, feeder maps.
2. Voltage levels, regulation
3. Type of distribution system
4. Line equipment characteristics
5. Power quality control
6. Back-up/express feeder provision
7. Accident protection/prevention procedure
8. Maintenance plans and procedures
9. Fault location/trouble-shooting procedures
10. Emergency provision
11. Patrolling and inspection procedure
12. Customer service data/manuals
13. Billing and collection procedure
14. Protection, control and measuring instruments
15. Metering and testing facilities
16. Communication systems
17. Training and development
Office of Registrar

Check List for Examination of Transmission Licence Application – For New Project (Regulation 3 read with 3(6)(A) of AMPR)

Name of Company: ___________________________ Capacity: ______

<table>
<thead>
<tr>
<th>Regulation #</th>
<th>Information/Documents Required</th>
<th>Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>3(1)</td>
<td>Authorization from Board Resolution / Power of Attorney</td>
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</tr>
<tr>
<td>3(2)</td>
<td>Application fee (including Indexation)</td>
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</tr>
<tr>
<td>3(5)(a)(iii)</td>
<td>Certified Copies of Last Filed Annual Return of the company (Certified by SECP)</td>
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</tr>
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<td>Profile of experience of the applicant its management, staff and its members in power sector.</td>
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<td>Latest financial statements of the company</td>
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</tr>
<tr>
<td>3(5)(d)(iv)</td>
<td>Employment records of engineering and technical staff of the applicant proposed to be employed</td>
<td></td>
</tr>
<tr>
<td>3(5)(d)(v)</td>
<td>Profile of Sub-contractors, if any alongwith expression of interest of such sub contractor</td>
<td></td>
</tr>
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<td>3(5)(d)(vi)</td>
<td>Verifiable references in respect of the experience of the applicant and its proposed sub-contractors</td>
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</tr>
<tr>
<td><strong>1.</strong></td>
<td>Purpose</td>
<td></td>
</tr>
<tr>
<td><strong>2.</strong></td>
<td>Line Route and territory maps</td>
<td></td>
</tr>
<tr>
<td><strong>3.</strong></td>
<td>Line Lengths, starting point, termination point, year of completion</td>
<td></td>
</tr>
<tr>
<td><strong>4.</strong></td>
<td>System Studies</td>
<td></td>
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<tr>
<td><strong>5.</strong></td>
<td>ESSA (Environmental and Social Soundness Assessment)</td>
<td></td>
</tr>
<tr>
<td><strong>6.</strong></td>
<td>Structure: type, number / KM</td>
<td></td>
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<tr>
<td><strong>7.</strong></td>
<td>Line Characteristics</td>
<td></td>
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<tr>
<td><strong>8.</strong></td>
<td>Conductor, type, current carrying capacity, circuit power transfer</td>
<td></td>
</tr>
<tr>
<td><strong>9.</strong></td>
<td>Insulators</td>
<td></td>
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<tr>
<td><strong>10.</strong></td>
<td>Shield-wire: number, size</td>
<td></td>
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<tr>
<td><strong>11.</strong></td>
<td>Compensation employed: series, Shunt, SVC</td>
<td></td>
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<tr>
<td><strong>12.</strong></td>
<td>Communication system: PLC, fibre optics, microwave</td>
<td></td>
</tr>
<tr>
<td><strong>13.</strong></td>
<td>Grid Station(s) involved: number, existing / new and details of the following:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Scope, size, number of transmission circuits, in and out</td>
<td></td>
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<tr>
<td></td>
<td>• Type: indoor/outdoor, transformation / switching</td>
<td></td>
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<tr>
<td></td>
<td>• Arrangement scheme: breaker and a half, double bus</td>
<td></td>
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<tr>
<td></td>
<td>• Basic insulation level: kV</td>
<td></td>
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<tr>
<td></td>
<td>• Control and protection system</td>
<td></td>
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<tr>
<td></td>
<td>• Specification of equipment: breakers-type, re-closing mechanism, duty cycle etc. isolators, transformers-type, size, cooling system. Tap-changer, and protection</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Lightening arresters, shunt reactors, metering and instrumentation</td>
<td></td>
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<tr>
<td></td>
<td>• SCADA and communication</td>
<td></td>
</tr>
</tbody>
</table>
Office of Registrar

Interim Checklist of Required Documentation for Applications for
Grant of Power Supplier Licences

Name of Company: ____________________________ Load: _____

<table>
<thead>
<tr>
<th>Serial No.</th>
<th>Information/Documents Required</th>
<th>Information/Documents Submitted</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Certified Copy of Certificate of Incorporation</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Certified Copy of Company Memorandum and Articles of Association</td>
<td></td>
</tr>
</tbody>
</table>
| 3.         | Certified Copy of the Last Filed Annual Return (in case of an applicant who has submitted the annual return required to be submitted to the registrar of companies pursuant to section 130 of the Companies Act 2017)  
 or  
 Certified Copy of a return comprising of all such information, in as close a form and content as possible, laid down in the third schedule to the Ordinance (in case of an applicant to whom the section 130 of the Companies Act 2017 is not applicable) |                               |
| 4.         | Evidence of the availability of adequate financial and technical resources for the purposes of the power supply business and such evidence may consist of:  
 (i) cash balances held in reserve along with the bank certificates;  
 (ii) expressions of interest to provide credit or financing along with sources and details thereof; and  
 (iii) latest financial statements of the company; |                               |
<p>| 5.         | Reasonably detailed profile of the experience in the energy sector of the company’s management staff and employees |                               |
| 6.         | Curriculum vitae of the company’s senior management, technical and professional staff         |                               |
| 7.         | Details of any charges, encumbrances or liabilities attached to the company or its assets;       |                               |
| 8.         | Feasibility study in respect of the project, including technical and financial proposals       |                               |
| 9.         | A prospectus                                                                                   |                               |
| 10.        | Proposed Service territory                                                                      |                               |
| 11.        | Relevant feeder maps                                                                           |                               |
| 12.        | Type of metering systems                                                                        |                               |
| 13.        | Metering and testing facilities                                                                 |                               |
| 14.        | Billing and collection procedures (including provisions for remote metering)                   |                               |
| 15.        | Ability to access consumer metering systems and other services/equipment                        |                               |</p>
<table>
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<th>Information/Documents Required</th>
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<tr>
<td>16.</td>
<td>Emergency provisions and protocols</td>
<td></td>
</tr>
<tr>
<td>17.</td>
<td>Number of consumers and expected load</td>
<td></td>
</tr>
<tr>
<td>18.</td>
<td>Consumer class/category, sub-category on the basis of sanctioned load and voltage level</td>
<td></td>
</tr>
<tr>
<td>19.</td>
<td>Tariff categories for different consumer classes</td>
<td></td>
</tr>
<tr>
<td>20.</td>
<td>Description of demand and consumption pattern on different time periods</td>
<td></td>
</tr>
<tr>
<td>21.</td>
<td>Details of basis of common services for commercial and residential consumers and their allocation thereof</td>
<td></td>
</tr>
<tr>
<td>22.</td>
<td>Customer service manual(s) and data</td>
<td></td>
</tr>
<tr>
<td>23.</td>
<td>Procurement Plan for meeting expected loads, including own generation and other long-term and short-term PPAs</td>
<td></td>
</tr>
<tr>
<td>24.</td>
<td>Training and development procedures and manuals</td>
<td></td>
</tr>
<tr>
<td>25.</td>
<td>12-month projections on expected load, number of consumers and expected sale of units for each consumer category</td>
<td></td>
</tr>
<tr>
<td>26.</td>
<td>5-year Investment Plan indicating schemes/models/framework for undertaking supply of electric power (including frameworks for providing non-discriminatory services and acquisition/sale of assets in relevant service territories)</td>
<td></td>
</tr>
<tr>
<td>27.</td>
<td>Affidavit as to the correctness, authenticity and accuracy of the application, documents and information submitted</td>
<td></td>
</tr>
<tr>
<td>28.</td>
<td>Board resolution(s) of the company authorising the application and its particulars</td>
<td></td>
</tr>
</tbody>
</table>
1. **Information / documents required under NEPRA (Supply of Electric Power) Regulations, 2015:**

i. Load of applicant's distribution network for which the Distribution License is being sought.

ii. Whether the applicant has its own 132 kV Grid Station?

iii. Evidence of adequate and necessary technical, financial and organizational capabilities to construct, develop, manage and maintain the proposed distribution network.

iv. Evidence demonstrating applicant's ability to fulfill the requirement of Section 21 the Act, NEPRA Licensing (Distribution) Rules, 1999 and other relevant provision of the applicable law.